

Agenda

Meeting: Audit Committee

**Venue: Brierley Room, County Hall,
Northallerton**

**Date: Thursday 29 September 2016 at
1.30pm**

Note: Members are invited to attend a seminar concerning the proposed pooling of the Local Government Pension Scheme at 1.00 pm in the Brierley Room.

Recording is allowed at County Council, committee and sub-committee meetings which are open to the public. Please give due regard to the Council's protocol on audio/visual recording and photography at public meetings, a copy of which is available to download below. Anyone wishing to record is asked to contact, prior to the start of the meeting, the Officer whose details are at the foot of the first page of the Agenda. We ask that any recording is clearly visible to anyone at the meeting and that it is non-disruptive. <http://democracy.northyorks.gov.uk>

Business

1. Minutes of the meeting held on:-

- (a) 23 June 2016**
- (b) 14 July 2016**

(Pages 5 to 12)
(Pages 13 to 18)

2. Any Declarations of Interest

3. Public Questions or Statements

Members of the public may ask questions or make statements at this meeting if they have given notice (including the text of the question/statement) to Ruth Gladstone of Democratic Services (*contact details at the foot of page 1 of the Agenda*) by midday on Monday 26 September 2016. Each speaker should limit themselves to 3 minutes on any item. Members of the public who have given notice will be invited to speak:-

- at this point in the meeting if their questions/statements relate to matters which are not otherwise on the Agenda (subject to an overall time limit of 30 minutes);
- when the relevant Agenda item is being considered if they wish to speak on a matter which is on the Agenda for this meeting.

If you are exercising your right to speak at this meeting, but do not wish to be recorded, please inform the Chairman who will instruct those taking a recording to cease while you speak.

4. Progress on Issues Raised by the Committee – Joint report of the Corporate Director – Strategic Resources and the Assistant Chief Executive (Legal and Democratic Services).

(Pages 19 to 22)

5. External Audit Report 2015/16 – North Yorkshire County Council and North Yorkshire Pension Fund

(Pages 23 to 54)

6. North Yorkshire Pension Fund Annual Report 2015/16 – Report of the Corporate Director – Strategic Resources and Treasurer to the Pension Fund.

(Pages 55 to 163)

7. Review of Statement of Final Accounts (incorporating Annual Governance Statement) - Report of Audit Committee Members' Working Group.

(Pages 164 to 166)

8. Statement of Final Accounts for 2015/16 including Letter of Representation - Report of the Corporate Director – Strategic Resources.

(Pages 167 to 179)

(Statement of Final Accounts booklet collated separately)

9. Annual Report of the Audit Committee - Report of the Chairman of the Audit Committee.

(Pages 180 to 188)

10. Health and Adult Services Directorate:-

(a) Internal Audit Work - Report of the Head of Internal Audit.

(Pages 189 to 199)

(b) Internal Control Matters - Report of the Corporate Director – Health and Adult Services.

(Pages 200 to 226)

11. **Internal Audit Report on Information Technology, Corporate Themes and Contracts** - Report of the Head of Internal Audit.
(Pages 227 to 245)
12. **Progress on 2016/17 Internal Audit Plan** - Report of the Head of Internal Audit.
(Pages 246 to 250)
13. **Programme of Work** – Report of the Corporate Director – Strategic Resources.
(Page 251)
14. **Other business which the Chairman agrees should be considered as a matter of urgency because of special circumstances.**

Barry Khan
Assistant Chief Executive (Legal and Democratic Services)

County Hall
Northallerton

21 September 2016

Notes:

Emergency Procedures for Meetings

Fire

The fire evacuation alarm is a continuous Klaxon. On hearing this you should leave the building by the nearest safe fire exit. Once outside the building please proceed to the fire assembly point outside the main entrance

Persons should not re-enter the building until authorised to do so by the Fire and Rescue Service or the Emergency Co-ordinator.

An intermittent alarm indicates an emergency in nearby building. It is not necessary to evacuate the building but you should be ready for instructions from the Fire Warden.

Accident or Illness

First Aid treatment can be obtained by telephoning Extension 7575.

AUDIT COMMITTEE

1. Membership

County Councillors (8)							
	Councillors Names				Political Party		
1	ATKINSON, Margaret (<i>Vice-Chairman</i>)				Conservative		
2	BAKER, Robert				Conservative		
3	BLACKIE, John				NY Independent		
4	BROADBENT, Eric				Labour		
5	CLARK, Jim				Conservative		
6	FORT, John BEM				Conservative		
7	GRANT, Helen				NY Independent		
8	JORDAN, Mike (<i>Chairman</i>)				Conservative		
Members other than County Councillors (3)							
1	PORTLOCK, David						
2	MARSH, David						
3	Vacancy						
Total Membership – (11)				Quorum – (3) County Councillors			
Con	Lib Dem	NY Ind	Labour	Liberal	UKIP	Ind	Total
5	0	2	1	0	0	0	

2. Substitute Members

Conservative		Liberal Democrat	
	Councillors Names		Councillors Names
1	HARRISON-TOPHAM, Roger	1	De COURCEY-BAYLEY, Margaret-Ann
2	SANDERSON, Janet	2	
3	METCALFE, Chris	3	
4		4	
5		5	
NY Independent		Labour	
	Councillors Names		Councillors Names
1	JEFFERSON, Janet	1	SHAW-WRIGHT, Steve
2		2	
3		3	
4		4	
5		5	